#5 N-29-04



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No: Q63315

Group Art Unit: 2631

Examiner: Not Yet Assigned

re application of

Heung-soo KIM

Appln. No.: 09/901,154

Confirmation No.: 5810

Filed: July 10, 2001

For: MODEM AND A METHOD FOR CONTROLLING THE SAME

STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 RECEIVED
JAN 2 8 2004

Technology Center 2600

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

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WASHINGTON OFFICE 23373
CUSTOMER NUMBER

Date: January 26, 2004

Respectfully submitted,

Darryl Mexic

Registration No. 23,063

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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For:

MODEM AND A METHOD FOR CONTROLLING THE SAME

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 RECEIVED

JAN 2 8 2004

Technology Center 2600

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith. Also, enclosed is a Chinese Office Action in a corresponding Chinese Patent Application, citing the references enclosed with the IDS.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 09/901,154

Attorney Docket No.: Q63315

fee under 37 C.F.R. § 1.17(p) is required. Nevertheless, since a statement can be made, a statement

is submitted herewith.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant encloses herewith a copy of a Communication from a foreign

patent office in a counterpart application citing such documents, together with an English-language

version (if not already included) of at least that portion of the Communication indicating the degree

of relevance found by the foreign patent office. Also, the submission of the attached English

language abstract along with Japanese Laid-open Publication No. 2000-217151 constitutes a

concise statement of relevance of the respective references.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee

and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to

said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted.

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CUSTOMER NUMBER

Date: January 26, 2004

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Substitute for Form 1449 A & B/PTO	Complete if Known			
	Application Number	09/901,154		
INFORMATION DISCLOSURE	Confirmation Number	5810		
STATEMENT BY APPLICANT	Filing Date	July 10, 2001		
	First Named Inventor	Heung-soo KIM		
AN 2 6 2004 (use as many sheets as necessary)	Art Unit	2631		
3	Examiner Name	Not Yet Assigned		
. Show 1 of 1	Attorney Docket Number	Q63315		
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U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number		Publication Date	
		Number	Kind Code ² (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US 4,701,946		10/20/1987	Oliva et al.
		US			
	-	US			RECEIVED
		US			NECEIVED
		US			
	,	US			JAN 2 8 2004
		US			
		US			Technology Center 260
		US			Toominology outlier 200

			F	OREIGN PA	TENT DOCUM	ENTS	
Examiner Initials*	Cite No.1	Foreign Patent Document			Publication Date	Name of Patentee or	
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation ⁶
		JP	2000-217151	Α	8/4/2000	Sharp Corp.	Abstract
			_				

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶
		Japanese Abstract No. 2000-217151, dated August 4, 2000	
			<u> </u>

Examiner Signature		 Date Considered		

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.